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`APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/804,174

03/19/2004

Toshiaki Okuno

50212-581

20277 MCDERMOTT WILL & EMERY 600 13TH STREET, N.W. WASHINGTON, DC 20005-3096



CONFIRMATION NO. 6746
FORMALITIES LETTER
OC000000012823503

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$298 as a non-small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1198 for a Large Entity

\$770 Statutory basic filing fee.

07/28/2004 YPOLITE1 000000G0 10G04174

• \$130 Late oath or declaration Surcharge.

01 FC:1001 770.00 DA 02 FC:1051 130.00 DA 03 FC:1201 172.00 DA

07/28/2004 YPOLITE1 00000061 500417 10804174

01 FC:1202

126.00 DA

- Total additional claim fee(s) for this application is \$298
 - \$172 for 2 independent claims over 3.
 - **\$126** for **7** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT

JUL 2 7 2004 ©

Docket No.: 50212-581

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Toshiaki OKUNO, et al. : Confirmation Number: 6746

Serial No.: 10/804,174 : Group Art Unit: 2874

Filed: March 19, 2004 : Examiner: Not yet assigned

For: WAVELENGTH CONVERTER

RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Missing Parts of Application dated June 1, 2004, submitted herewith are the following documents for filing in the above-referenced application:

- 1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
- 2. Statutory Basic filing fee of \$770.00
- 3. Late Filing Fee Surcharge of \$130.00
- 4. Additional Independent Claim Fee of \$172.00
- 5. Additional Total Claims Fee of \$126.00
- 6. Assignment and Recordation Fee of \$40.00 (on separate postcard)

Please charge Deposit Account No. 500417 in the amount of \$1198.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136.

Serial No.: 10/804,174

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the updated official filing receipt now be issued.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP

Registration No. 26,106

600 13th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 AJS:etp Facsimile: (202) 756-8087

Date: July 27, 2004